

# Introduction to Assimilation of Atmospheric Composition Observations with WRF-Chem

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WRF-Chem Tutorial  
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# Overview

- Introduction to “data assimilation (DA)” and ensemble DA methods.
- Review of chemical data assimilation efforts with WRF-Chem.
- Special challenges with assimilation of observations of atmospheric composition.
- Review of WRF-Chem/DART and the tutorial on localization.

# Background: Introduction to Data Assimilation Methods

# Data Assimilation Methods

## ➤ Ensemble Kalman Filter Methods

- ✓ EnKF – Ensemble Kalman Filter (Houtekamer and Mitchell, 1998; 2001)
- ✓ EAKF – Ensemble Adjustment Kalman Filter (Anderson, 2001; 2003)
- ✓ ETKF – Ensemble Transform Kalman Filter (Bishop et al., 2001)
- ✓ LETKF – Local Ensemble Transform Kalman Filter (Ott et al., 2002; 2004)

## ➤ Hybrid Methods

- ✓ Weighted sum (Hamill and Snyder, 2000)
- ✓ Control variable (Lorenc, 2003)
- ✓ EVIL – Ensemble Variational Integrated Localized hybrid (Aulignes et al., 2016).



# Data Assimilation Methods cont.

- Constrained Emissions (Emissions Inversion)
  - ✓ Adjoint Method
  - ✓ Variational Method
  - ✓ State Augmentation Methods

# Review of Chemical Data Assimilation Efforts with WRF-Chem

# WRF-Chem/Chem DA Efforts

## ➤ WRF-Chem/GSI

- ✓ Liu et al., NCAR/MMM – Assimilate MODIS AOD,  $PM_{2.5}$ , and  $PM_{10}$  surface observations.
- ✓ Pagowski and Grell, NOAA – Assimilate  $O_3$  and  $PM_{2.5}$ .
- ✓ Saide et al., Univ. of Iowa and NCAR/ACOM – Assimilate MODIS AOD with constrained emissions.

## ➤ WRF-Chem/EnKF

- ✓ Pagowski and Grell, NOAA – Assimilate  $PM_{2.5}$  surface observations.

## ➤ WRF-Chem/DART (EAKF)

- ✓ Mizzi and Edwards, NCAR/ACOM; Anderson, NCAR/IMAGe; and Arellano, Univ. of Arizona – Assimilate MOPITT and IASI CO profiles and MODIS AOD with constrained emissions.

# WRF-Chem/Chem DA Efforts cont.

## ➤ WRF-Chem/DART (EAKF) cont.

- ✓ Mizzi, NCAR/ACOM and Cohen and Liu, Univ. of California at Berkeley – Assimilate OMI total column  $\text{NO}_2$  with constrained emissions.
- ✓ Mizzi, NCAR/ACOM and Chen, Miao, and Liang, York Univ. – Assimilate MOPITT total column CO with constrained emissions and MODIS AOD.
- ✓ Mizzi, NCAR/ACOM Pfister, and Edwards – Application of WRF-Chem/DART to quasi-real time dual-resolution air quality forecasting/cycling in FRAPPE/DiscoverAQ.
- ✓ Mizzi, NCAR and Mirzargar, Univ. of Miami – Using data-depth algorithms to identify the most representative ensemble member for quasi-real, time dual-resolution air quality forecasting/assimilation.

# WRF-Chem/Chem DA Efforts cont.

## ➤ WRF-Chem/DART (EAKF) cont.

- ✓ Mizzi, NCAR and Brasseur and Bouarar, Max Plank Inst. for Meteorology – Application of WRF-Chem/DART to quasi-real time, dual-resolution air quality forecasting/cycling for eastern China in connection with PANDA.
- ✓ Mizzi, NCAR and Wang and Ma, Nanjing Univ. –Application of WRF-Chem/DART to quasi-real, time dual-resolution air quality forecasting/cycling for eastern China.
- ✓ Mizzi, NCAR and Garcia-Reynoso and Sabari, Natl. Autonomous Univ, of Mexico –Application of WRF-Chem/DART to quasi-real, time dual-resolution air quality forecasting/cycling for Mexico City.

# WRF-Chem/DART

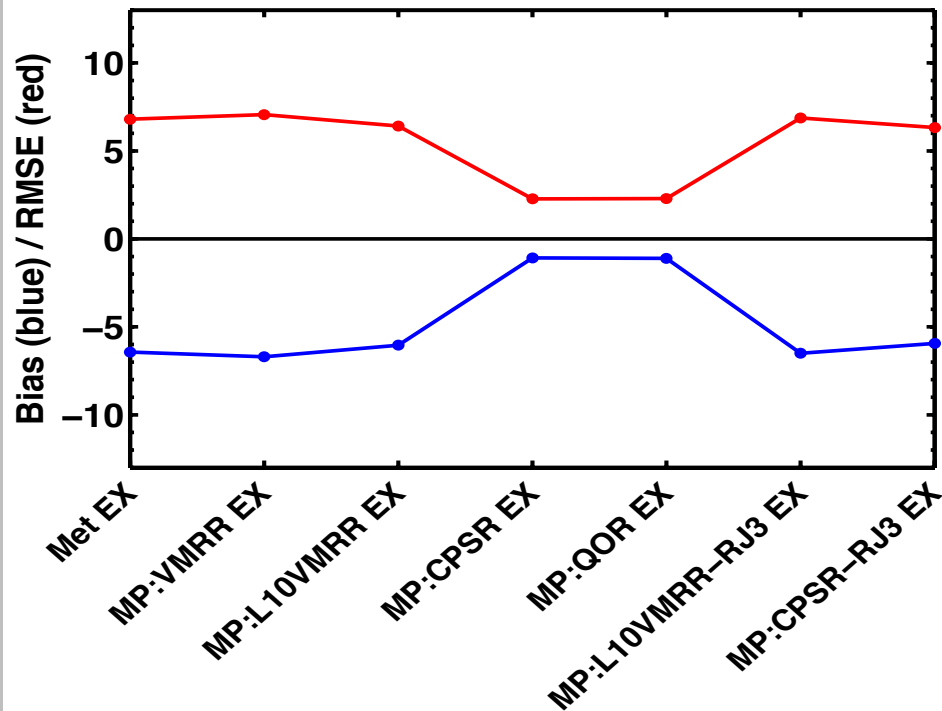
- WRF-Chem – the Weather Research and Forecasting (WRF) model with online chemistry.
- DART – the Data Assimilation Research Testbed modified for assimilation of atmospheric composition observations.
  - MOPITT and IASI partial and total column CO
  - IASI partial and total column O<sub>3</sub> (under testing)
  - MODIS AOD and OMI total column NO<sub>2</sub>
  - AirNOW and PANDA *in situ* observations
  - Emission constraints – State augmentation method
  - Assimilate as RETRs, QORs, and CPSRs
  - State variable localization (joint or independent assimilation)
  - Quasi-real time and dual-resolution cycling.

# WRF-Chem/DART

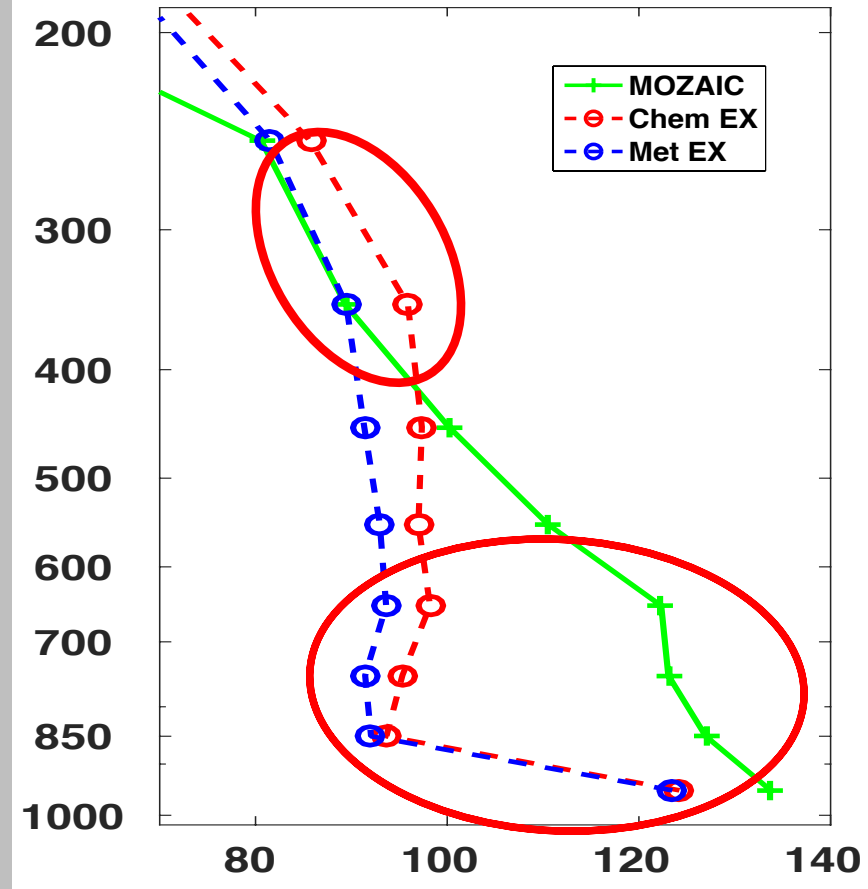
- WRF-Chem/DART repository download link:  
<https://svn-dares-dart.cgd.ucar.edu/DART/tags/wrf-chem.r11690>
- Sample input/output data:
  - Go to NCAR ftp site at <ftp.ucar.edu>
  - Go to [../pub/mmm/mizzi](#)
  - Get the [PANDA\\_REAL\\_TIME\\_DATA.tar](#) file. This is the input data and directory structure.
  - Get the [real\\_PANDA\\_RETR\\_VARLOC.tar](#) file. This is the sample output data and directory structure.
- WRF-Chem/DART [Users' Guide is not available](#) so collaboration is recommended/necessary. Contact Dr. Arthur P. Mizzi for information.

# 2008 Case Study: Forecast Verification

IASI CO Statistics



CPSR Forecast

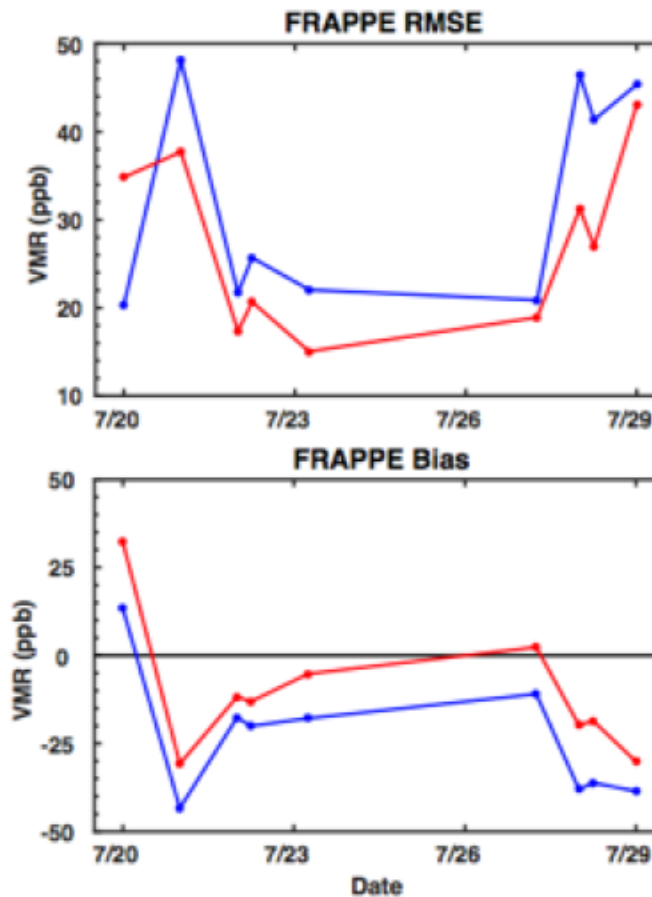
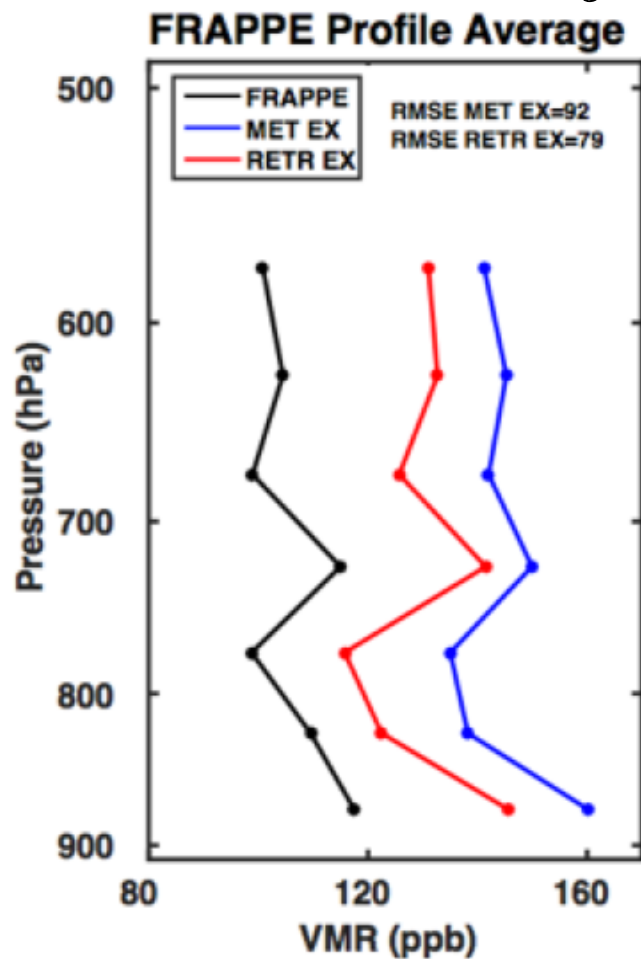


Mizzi et al. (2018)



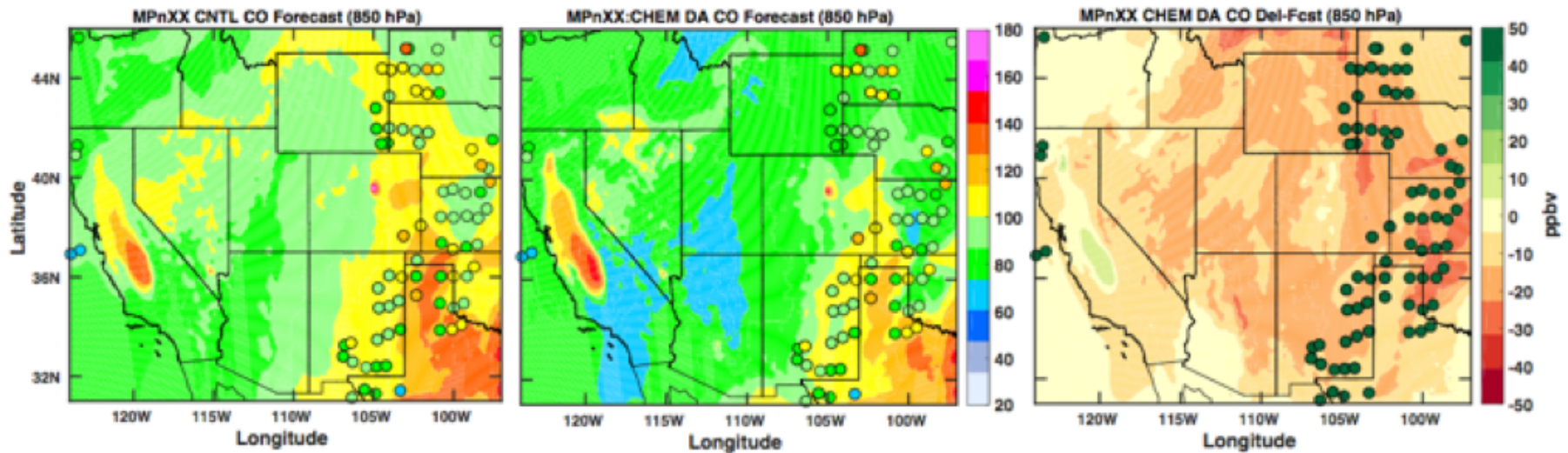
# FRAPPE: Forecast Verification

July 2014



# FRAPPE: (MOPIT CO RETRs)

July 21, 2014 18 UTC



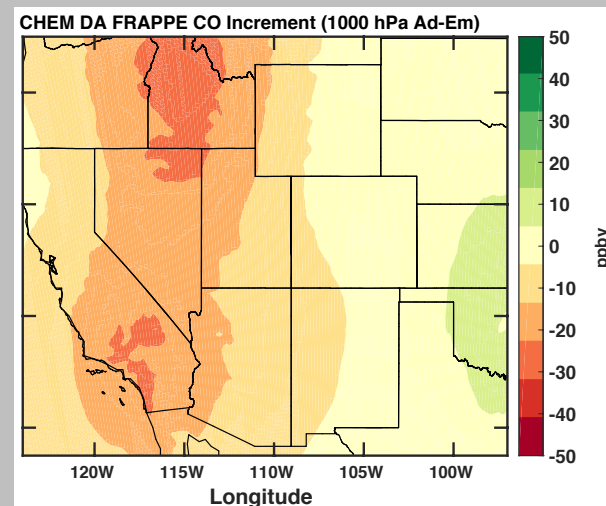
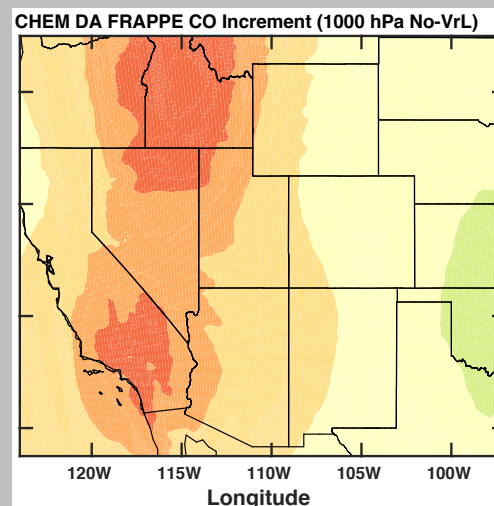
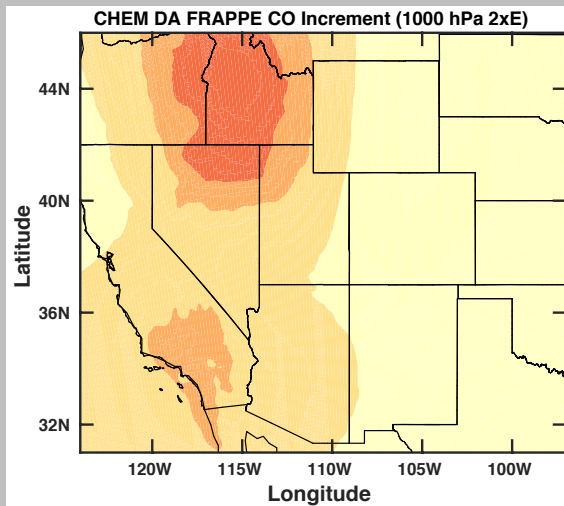
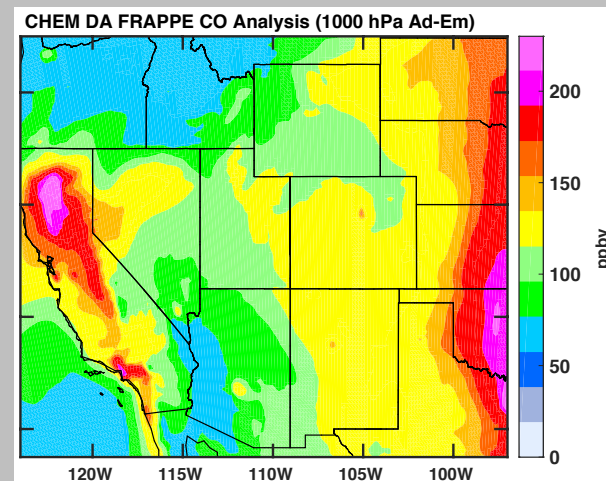
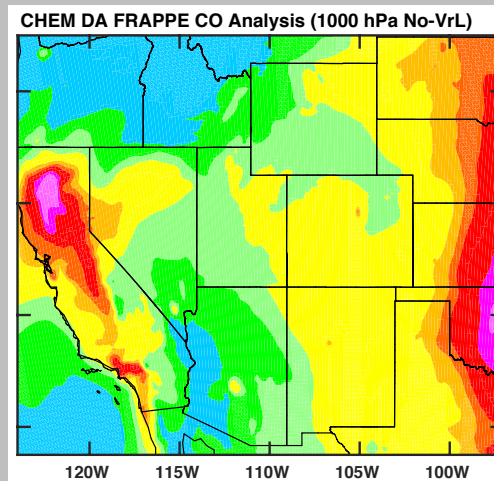
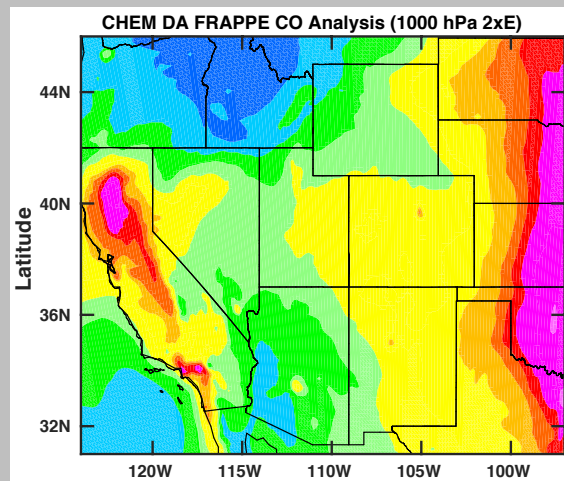
Met Ex

RETR Ex

Met Ex – RETR Ex

# FRAPPE: (MOPIT CO RETRs)

July 20, 2014 18 UTC



RETR Ex

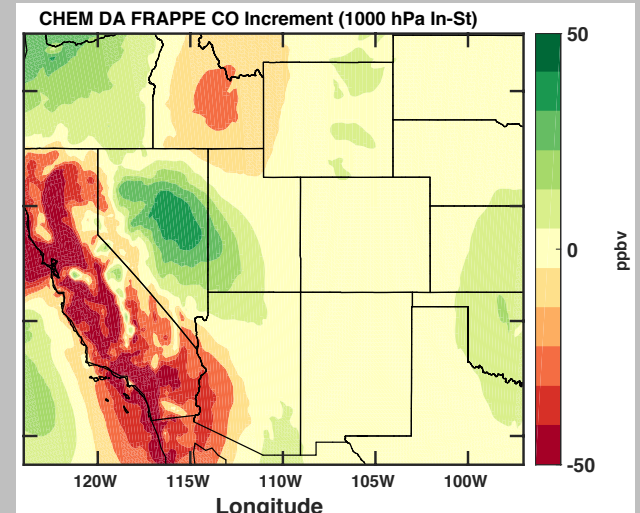
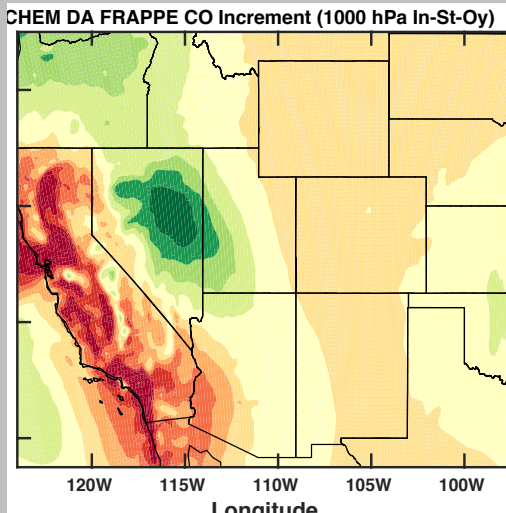
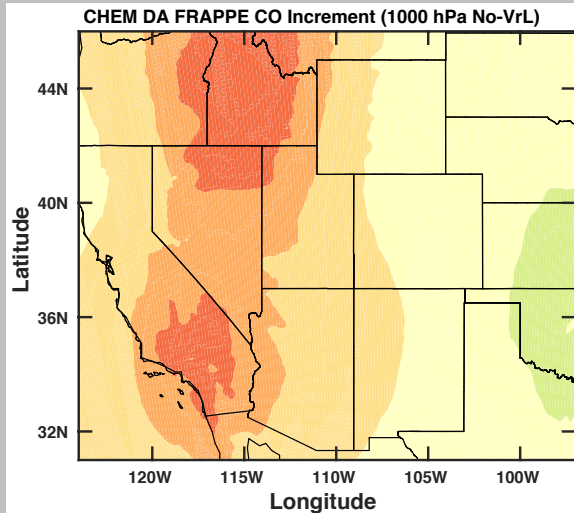
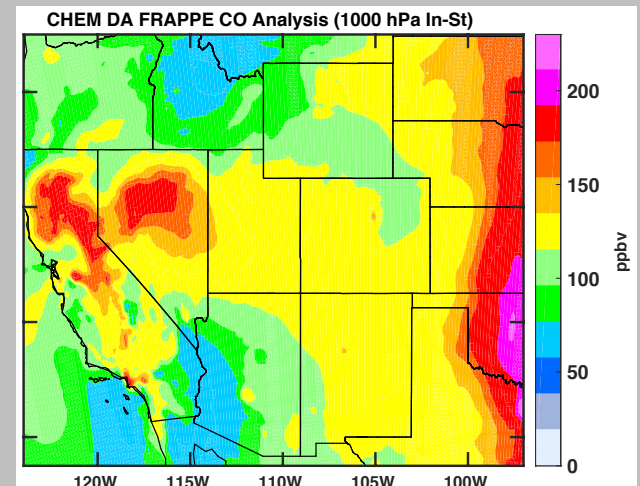
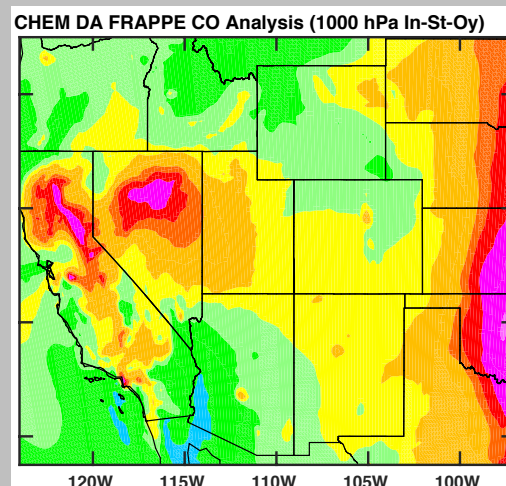
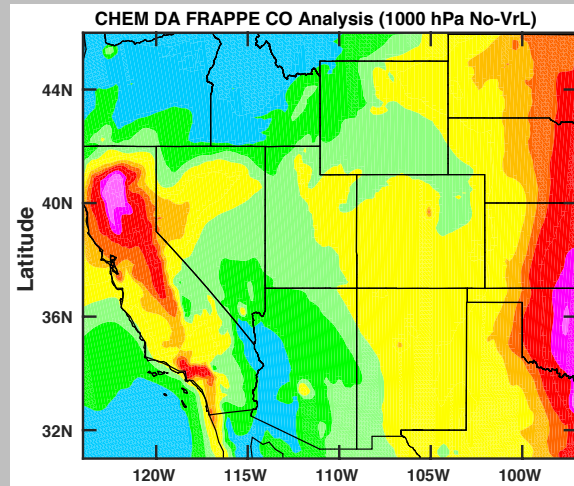
No State Variable Loc

No Loc and Adj Emiss



# FRAPPE CO (MOPITT CO and AirNow CO, O3)

July 20, 2014 18 UTC



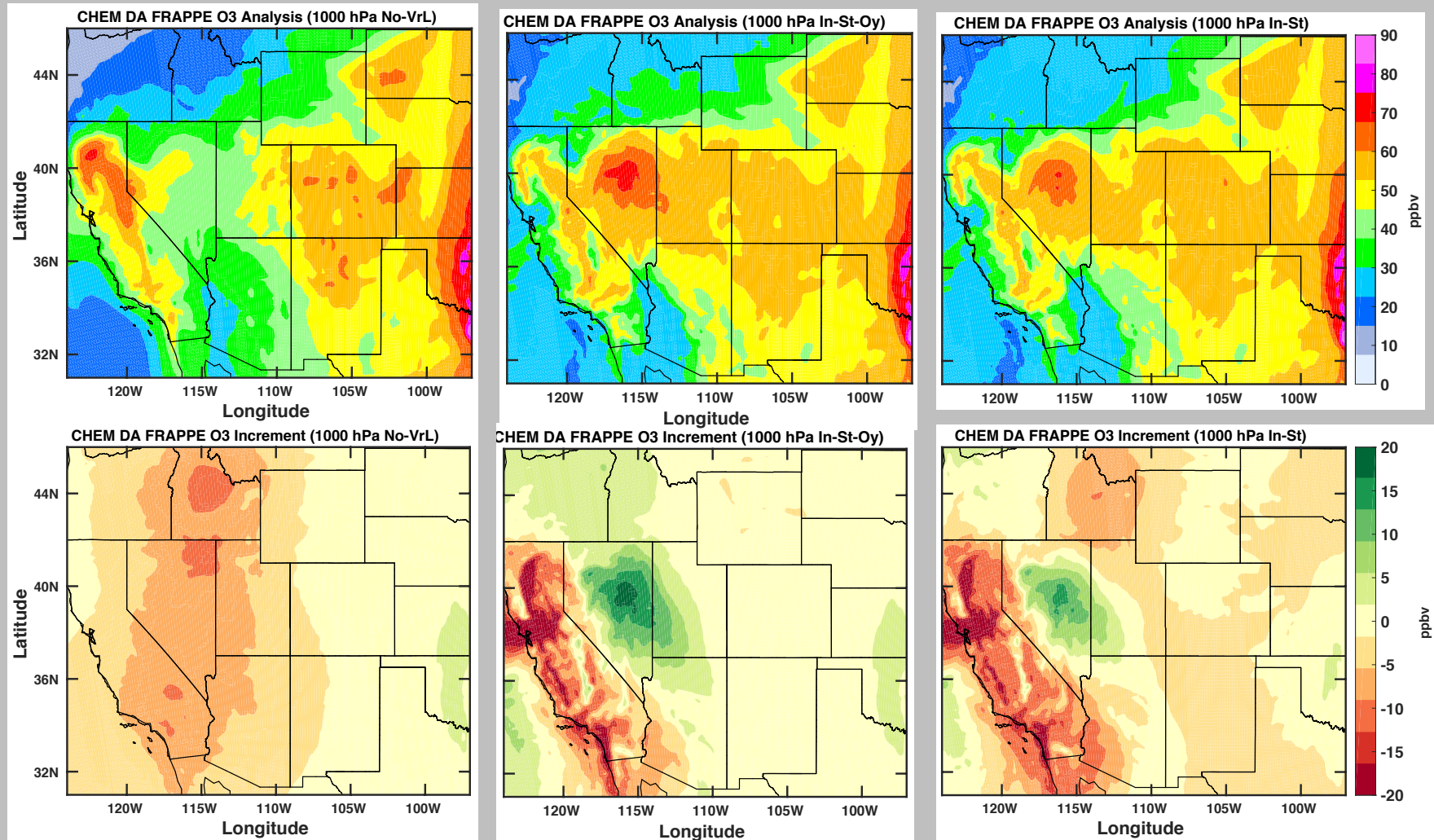
No State Variable Loc

In Situ

No Loc and In Situ

# FRAPPE O3 (MOPITT CO and AirNow CO, O3)

July 20, 2014 18 UTC



No State Variable Loc

In Situ

No Loc and In Situ

# Special Challenges with Assimilation of Observations of Atmospheric Composition

# Chemical Data Assimilation Challenges

- Sparse observations, mostly surface observations collected for regulatory purposes.
- Upper atmosphere/profile observations are primarily satellite-based retrievals (indirect observations, large data volume, low information density, large storage and assimilation computational requirements).
- Retrievals contain redundant information and influence of retrieval prior through the retrieval equation.
- Interaction of meteorology and chemistry observations on state variables during assimilation can disrupt chemistry (requires state-variable localization).



# Chemical Data Assimilation Challenges cont.

- Under-sampling and spread collapse issues require radius-of-influence localization and inflation but appropriate localization radius and spread uncertain.
- Forecast results are sensitive to correct emissions but large uncertainty in emissions and emissions error covariance is unknown.
- Retrieval error covariance products generally contain error cross-correlations.
- Chemical variables and errors tend to have non-Gaussian distributions.
- How to do vertical localization for assimilation of retrieval profiles is uncertain.



# **Review of WRF-Chem/DART Directory Structure and the Tutorial Exercise on Localization**

# The DART “../models/wrf\_chem” Directory

```
mizzi on cheyenne5: /glade/p/work/mizzi/TRUNK/DART_CHEM_REPOSITORY/models/wrf_chem
total 4096
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 dart_clamping/
drwxr-xr-x 2 mizzi ncar 131072 Jan 10 16:19 hybrid_scripts/
drwxr-xr-x 2 mizzi ncar 131072 Feb 21 2017 matlab/
-rw-r--r-- 1 mizzi ncar 455895 Jan 9 09:27 model_mod.f90
-rw-r--r-- 1 mizzi ncar 455894 Oct 18 15:35 model_mod.f90~
-rw-r--r-- 1 mizzi ncar 48385 Feb 21 2017 model_mod.html
-rw-r--r-- 1 mizzi ncar 4308 Feb 21 2017 model_mod.nml
-rw-r--r-- 1 mizzi ncar 83400 Feb 21 2017 module_map_utils.f90
-rw-r--r-- 1 mizzi ncar 73570 Feb 21 2017 module_map_utils-wrf3.0.f90
drwxr-xr-x 4 mizzi ncar 512 Feb 21 2017 namelist_scripts/
drwxr-xr-x 3 mizzi ncar 512 Feb 21 2017 PERTURB/
drwxr-xr-x 6 mizzi ncar 512 Feb 21 2017 regression/
drwxr-xr-x 3 mizzi ncar 131072 Nov 13 17:51 run_diagnostics/
drwxr-xr-x 3 mizzi ncar 512 Feb 21 2017 run_diagnostics_OMI/
drwxr-xr-x 2 mizzi ncar 131072 Feb 21 2017 run_frappe_diagnostics/
drwxr-xr-x 27 mizzi ncar 131072 Oct 18 13:07 run_scripts/
-rw-r--r-- 1 mizzi ncar 5504 Feb 21 2017 select.f90
drwxr-xr-x 2 mizzi ncar 131072 Apr 20 2017 shell_scripts/
-rw-r--r-- 1 mizzi ncar 106 Jan 9 09:25 svn-commit.tmp~
drwxr-xr-x 2 mizzi ncar 131072 Jan 9 20:18 work/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 WRF_BC/
drwxr-xr-x 2 mizzi ncar 131072 Feb 21 2017 WRF_CHEM_BUG_FIXES/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 wrfchem_code_fixes/
drwxr-xr-x 2 mizzi ncar 131072 Oct 26 11:20 WRF_DART_utilities/
-rw-r--r-- 1 mizzi ncar 4159 Feb 21 2017 wrf_state_variables_table
cheyenne5:/glade/p/work/mizzi/TRUNK/DART_CHEM_REPOSITORY/models/wrf_chem>
```

**hybrid\_scripts** – various WRF-Chem/DART utilities.

**namelist\_scripts** – scripts to setup the WRF, WRF-Chem, WPS, WRFDA, and DART namelists.

**run\_diagnostics** – scripts to run WRF-Chem/DART diagnostics and plotting,

**run\_scripts** – the main WRF-Chem/DART run scripts directory.

# The DART “../models/wrf\_chem/run\_scripts”

## Directory

```
mizzi on cheyenne5: /glade/p/work/mizzi/TRUNK/DART_CHEM_REPOSITORY/models/wrf_chem/run_scripts
total 1536
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_AIRNOW/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_AVG_FIX/
drwxr-xr-x 2 mizzi ncar 512 Mar 29 2017 RUN_BAND_DEPTH/
drwxr-xr-x 2 mizzi ncar 512 Sep 20 14:58 RUN_CHINA_EPA/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_CLOSEST_MEMBER/
drwxr-xr-x 2 mizzi ncar 131072 Sep 21 11:32 RUN_EMISS_INV/
drwxr-xr-x 4 mizzi ncar 512 Feb 21 2017 RUN_IASI/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_IASI_CO/
drwxr-xr-x 3 mizzi ncar 512 Feb 21 2017 RUN_IASI_O3/
drwxr-xr-x 9 mizzi ncar 512 Feb 21 2017 RUN_INITIAL_EMISS/
drwxr-xr-x 2 mizzi ncar 131072 Feb 21 2017 RUN_INITIAL_ENS/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_LOCALIZE_OBS_SEQ/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_MODIS/
drwxr-xr-x 4 mizzi ncar 512 Feb 21 2017 RUN_MOPITT/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_MOPITT_CO/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_NCEP/
drwxr-xr-x 2 mizzi ncar 131072 Feb 21 2017 RUN_OBS_SEQ_TOOL/
drwxr-xr-x 3 mizzi ncar 512 Feb 21 2017 RUN_OMI_TEST/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_PERFECT_MODEL/
drwxr-xr-x 4 mizzi ncar 512 Feb 22 2017 RUN_PERT_CHEM/
drwxr-xr-x 2 mizzi ncar 512 Feb 21 2017 RUN_PREPROC/
drwxr-xr-x 3 mizzi ncar 131072 Jan 11 15:06 RUN_REAL_TIME/
drwxr-xr-x 2 mizzi ncar 131072 Jan 10 14:51 RUN_REAL_TIME_CHEYENNE/
drwxr-xr-x 2 mizzi ncar 512 Nov 14 09:20 RUN_TIME_INTERP/
drwxr-xr-x 9 mizzi ncar 131072 Feb 21 2017 RUN_WRF_CHEM/
cheyenne5:/glade/p/work/mizzi/TRUNK/DART_CHEM_REPOSITORY/models/wrf_chem/run_scripts>
```

**RUN\_REAL\_TIME** – the WRF-Chem/DART real time run scripts directory. This is where you will find the scripts for running WRF-Chem/DART. The “real\_time\_PANDA\_RETR\_RELEASE\_TEST.ksh” script runs the released test case.

**RUN\_REAL\_TIME\_CHEYENNE** – a development directory for converting the real time runs scripts to Cheyenne. Eventually, this directory will disappear and the run scripts for Cheyenne will be in RUN\_REAL\_TIME.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
File Edit Options Buffers Tools Sh-Script Help
#!/bin/ksh -aex
#####
#
# Purpose: Run the WRF-Chem/DART PANDA test case
#
# NOTE: To generate chemistry perturbations check NL_SW_GENERATE comments
# To use the same perturbations from one cycle to the next one must copy
# the pert_file_emiss and pert_file_icbc file to the run directory
#
#####
#
# CYCLE DATE-TIME:
export CYCLE_STR_DATE=2014071400
# initial cycle
#export CYCLE_STR_DATE=2014072418
# first assimilation cycle
export CYCLE_STR_DATE=2014072500
#
export CYCLE_END_DATE=${CYCLE_STR_DATE}
export CYCLE_END_DATE=2014072500
export CYCLE_DATE=${CYCLE_STR_DATE}
export NL_FAC_OBS_ERROR_MOPITT=1.00
export NL_FAC_OBS_ERROR_IASI=1.00
export RETRIEVAL_TYPE_MOPITT=RETR
export RETRIEVAL_TYPE_IASI=RAWR
#
export USE_LOG_CO=false
if [[ $(USE_LOG_CO) == true ]]; then
    export CO_MIN=NULL
    export CO_MAX=NULL
    export USE_LOG_CO_LOGIC=.true.
else
    export CO_MIN=1.e-4
    export CO_MAX=NULL
    export USE_LOG_CO_LOGIC=.false.
fi
#
# If VARLOC = true, then INDEP_CHEM_ASSIM = false
# If INDEP_CHEM_ASSIM = true, then VARLOC = false
# VARLOC and INDEP_CHEM_ASSIM may both be false but
# they may not both be true
#
export VARLOC=.true.
export INDEP_CHEM_ASSIM=.false.
#
export ADD_EMISS=.false.
export EMISS_DAMP_CYCLE=0.5
export EMISS_DAMP_INTRA_CYCLE=0.5
#
let BAND_ISO_VAL_CO=.09
#
```

Set the cycle start and end date/times.

Set some experiment parameters:

NL\_FAC\_OBS\_ERROR\_xxx – the scaling for the observation error of the xxx retrievals.

RETRIEVAL\_TYPE\_xxx – the retrieval assimilation form:

RAWR – mixing ratio, RETR – log10 of mixing ratio,

QOR – quasi-optimal, and CPSR – compact phase space retrievals.

USE\_LOG\_CO – switch to do assimilation in log space.

VARLOC – switch to use state variable localization.

INDEP\_CHEM\_ASSIM – switch to use independent chemistry assimilation.

ADD\_EMISS – switch to use emission inversion

EMISS\_DAMP\_CYCLE – cycle time damping factor for emissions adjustment.

EMISS\_DAMP\_INTRA - intra-cycle time damping factor for emissions adjustment.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
#####  
# Run fine scale forecast only  
export RUN_FINE_SCALE=false  
#  
# Restart fine scale forecast only  
export RUN_FINE_SCALE_RESTART=false  
export RESTART_DATE=2014072312  
#  
if [[ ${RUN_FINE_SCALE_RESTART} = "true" ]]; then  
    export RUN_FINE_SCALE=true  
fi  
#  
# Run WRF-Chem for failed forecasts  
export RUN_SPECIAL_FORECAST=false  
export NUM_SPECIAL_FORECAST=1  
export SPECIAL_FORECAST_FAC=1.  
export SPECIAL_FORECAST_FAC=2./3.  
export SPECIAL_FORECAST_FAC=2./3.  
export SPECIAL_FORECAST_MEM1=4  
export SPECIAL_FORECAST_MEM2=2  
export SPECIAL_FORECAST_MEM3=3  
export SPECIAL_FORECAST_MEM4=4  
export SPECIAL_FORECAST_MEM5=5  
export SPECIAL_FORECAST_MEM6=6  
export SPECIAL_FORECAST_MEM7=7  
export SPECIAL_FORECAST_MEM8=8  
export SPECIAL_FORECAST_MEM9=9  
export SPECIAL_FORECAST_MEM10=10  
#  
# Run temporal interpolation for missing background files  
export RUN_INTERPOLATE=false  
#  
# for 2014072212 and 2014072218  
#export BACK_DATE=2014072206  
#export FORM_DATE=2014072300  
#let BACK_WT=.3333  
#let BACK_WT=.6667  
#  
# for 20142900  
#export BACK_DATE=2014072818  
#export FORM_DATE=2014072906  
#let BACK_WT=.5000  
#  
# for 20142912  
export BACK_DATE=2014072906  
export FORM_DATE=2014072918  
let BACK_WT=.5000  
#
```

**RUN\_FINE\_SCALE** – switch to run fine grid forecast.  
**RUN\_FINE\_SCALE\_RESTART** – switch to restart fine grid forecast.  
**RESTART\_DATE** – Date/time to restart fine grid forecast.

**RUN\_SPECIAL\_FORECAST** - switch to rerun failed ensemble members.  
**NUM\_SPECIAL\_FORECAST** - number of ensemble forecasts to rerun.  
**SPECIAL\_FORECAST\_FAC** – time step scaling factor for the ensemble forecast reruns.  
**SPECIAL\_FORECAST\_MEM[ ]** – the member id for the ensemble forecast reruns.

Code to enable temporal interpolation of the large scale meteorology forecast fields.



# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
#####  
while [[ ${CYCLE_DATE} -le ${CYCLE_END_DATE} ]]; do  
  export DATE=${CYCLE_DATE}  
  export INITIAL_DATE=2014072418  
  export FIRST_FILTER_DATE=2014072500  
  export FIRST_EMISS_INV_DATE=2014072500  
  export FIRST_DART_INFLATE_DATE=2014072500  
  if [[ ${ADD_EMISS} == false ]]; then  
    export FIRST_EMISS_INV_DATE=${DATE}  
  fi  
  export CYCLE_PERIOD=6  
  export HISTORY_INTERVAL_HR=1  
  (( HISTORY_INTERVAL_MIN = ${HISTORY_INTERVAL_HR} * 60 ))  
  export START_IASI_O3_DATA=2014060100  
  export END_IASI_O3_DATA=2014073118  
  export NL_DEBUG_LEVEL=200  
  #  
  # CODE VERSIONS:  
  export WPS_VER=WPSv3.6.1_dmpar  
  export WPS_GEOG_VER=WPSv3.6.1_GEOG_DATA  
  export WRFDA_VER=WRFDAv3.4_dmpar  
  export WRF_VER=WRFv3.6.1_dmpar  
  export WRFCHM_VER=WRFCHMv3.6.1_dmpar  
  export DART_VER=DART_CHEM_REPOSITORY  
  #  
  # ROOT DIRECTORIES:  
  export SCRATCH_DIR=/glade/scratch/mizzi  
  export WORK_DIR=/glade/p/work/mizzi  
  export ACD_DIR=/glade/p/acd/mizzi  
  export FRAPPE_DIR=/glade/p/FRAPPE  
  #  
  # DEPENDENT INPUT DATA DIRECTORIES:  
  export EXPERIMENT_DIR=${FRAPPE_DIR}  
  export RUN_DIR=${EXPERIMENT_DIR}/real_PANDA_RETR_VARLOC_RELEASE_TEST  
  export TRUNK_DIR=${WORK_DIR}/TRUNK  
  export WPS_DIR_v3p4p1=/glade/p/work/wrfhelp/PRE_COMPILED_CODE/WPSV3.4.1_intel_dmpar  
  export WPS_DIR_v3p7p1=/glade/p/work/wrfhelp/PRE_COMPILED_CODE/WPSV3.7.1_intel_serial_large-fil-  
  export WPS_DIR_v3p8p1=/glade/p/work/wrfhelp/PRE_COMPILED_CODE/WPSV3.8.1_intel_serial_large-fil-  
  export WPS_DIR=${TRUNK_DIR}/${WPS_VER}  
  export WPS_GEOG_DIR=${TRUNK_DIR}/${WPS_GEOG_VER}/geog  
  export WRFCHM_DIR=${TRUNK_DIR}/${WRFCHM_VER}  
  export WRFDA_DIR=${TRUNK_DIR}/${WRFDA_VER}  
  export DART_DIR=${TRUNK_DIR}/${DART_VER}  
  export BUILD_DIR=${WRFDA_DIR}/var/da  
  export WRF_DIR=${TRUNK_DIR}/${WRF_VER}  
  export HYBRID_SCRIPTS_DIR=${DART_DIR}/models/wrf_chem/hybrid_scripts  
  export ADJUST_EMISS_DIR=${DART_DIR}/models/wrf_chem/run_scripts/RUN_EMISS_INV  
  export EXPERIMENT_DATA_DIR=${EXPERIMENT_DIR}/PANDA_REAL_TIME_DATA  
  #export EXPERIMENT_DATA_DIR=${EXPERIMENT_DIR}/PANDA_REAL_TIME_DATA_IDIR
```

INITIAL\_DATE, FIRST\_FILTER\_DATE, FIRST\_EMISS\_INV\_DATE, FIRST\_DART\_INFLATE\_DATE – these parameters identify the cycle on which the respective task starts.

Set cycling and assimilation parameters.

Set the respective code versions.

Set the respective independent directory paths.

Set the respective dependent directory paths.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
export MOZBC_DATA_DIR=${EXPERIMENT_DATA_DIR}/mozart_forecasts
export EXPERIMENT_STATIC_FILES=${EXPERIMENT_DATA_DIR}/static_files
export EXPERIMENT_WRFCHM_DIR=${EXPERIMENT_DATA_DIR}/anthro_emissions
export EXPERIMENT_WRF_FIRECHEM_DIR=${EXPERIMENT_DATA_DIR}/fire_emissions
export EXPERIMENT_WRF_BIOCHEM_DIR=${EXPERIMENT_DATA_DIR}/bio_emissions
export EXPERIMENT_COLDENS_DIR=${EXPERIMENT_DATA_DIR}/wes_coldens
export EXPERIMENT_PREPBUF_DIR=${EXPERIMENT_DATA_DIR}/met_obs_prep_data
export EXPERIMENT_MOPITT_CO_DIR=${EXPERIMENT_DATA_DIR}/mopitt_co_hdf_data
export EXPERIMENT_IASI_CO_DIR=${EXPERIMENT_DATA_DIR}/iasi_co_hdf_data
export EXPERIMENT_IASI_O3_DIR=${EXPERIMENT_DATA_DIR}/iasi_o3_hdf_data
export EXPERIMENT_AIRNOW_DIR=${EXPERIMENT_DATA_DIR}/airnow_csv_data
export EXPERIMENT_PANDA_DIR=${EXPERIMENT_DATA_DIR}/panda_csv_data
export EXPERIMENT_MODIS_AOD_DIR=${EXPERIMENT_DATA_DIR}/modis_aod_hdf_data
export EXPERIMENT_GFS_DIR=${EXPERIMENT_DATA_DIR}/gfs_forecasts
export EXPERIMENT_DUST_DIR=${EXPERIMENT_DATA_DIR}/dust_fields
export EXPERIMENT_HIST_IO_DIR=${EXPERIMENT_DATA_DIR}/hist_io_files
export VTABLE_DIR=${WPS_DIR}/ungrib/Variable_Tables
#export VTABLE_DIR=${WPS_DIR}_v3p7p1/ungrib/Variable_Tables
export BE_DIR=${WRFDA_DIR}/var/run
export PERT_CHEM_INPUT_DIR=${DART_DIR}/models/wrf_chem/run_scripts/RUN_PERT_CHEM/ICBC_PERT
export PERT_CHEM_EMISS_DIR=${DART_DIR}/models/wrf_chem/run_scripts/RUN_PERT_CHEM/EMISS_PERT
export RUN_BAND_DEPTH_DIR=${DART_DIR}/models/wrf_chem/run_scripts/RUN_BAND_DEPTH
#
cp ${DART_DIR}/models/wrf_chem/work/advance_time ./
cp ${DART_DIR}/models/wrf_chem/work/input.nml ./
export YYYY=$(echo $DATE | cut -c1-4)
export YY=$(echo $DATE | cut -c3-4)
export MM=$(echo $DATE | cut -c5-6)
export DD=$(echo $DATE | cut -c7-8)
export HH=$(echo $DATE | cut -c9-10)
export DATE_SHORT=${YY}-${MM}-${DD}-${HH}
export FILE_DATE=${YYYY}-${MM}-${DD}-${HH}:00:00
export PAST_DATE=${DART_DIR}/da_advance_time.exe ${DATE} -${CYCLE_PERIOD} 2>/dev/null
export PAST_YYYY=$(echo $PAST_DATE | cut -c1-4)
export PAST_YY=$(echo $PAST_DATE | cut -c3-4)
export PAST_MM=$(echo $PAST_DATE | cut -c5-6)
export PAST_DD=$(echo $PAST_DATE | cut -c7-8)
export PAST_HH=$(echo $PAST_DATE | cut -c9-10)
export PAST_FILE_DATE=${PAST_YYYY}-${PAST_MM}-${PAST_DD}-${PAST_HH}:00:00
export NEXT_DATE=${DART_DIR}/da_advance_time.exe ${DATE} +${CYCLE_PERIOD} 2>/dev/null
export NEXT_YYYY=$(echo $NEXT_DATE | cut -c1-4)
export NEXT_YY=$(echo $NEXT_DATE | cut -c3-4)
export NEXT_MM=$(echo $NEXT_DATE | cut -c5-6)
export NEXT_DD=$(echo $NEXT_DATE | cut -c7-8)
export NEXT_HH=$(echo $NEXT_DATE | cut -c9-10)
export NEXT_FILE_DATE=${NEXT_YYYY}-${NEXT_MM}-${NEXT_DD}-${NEXT_HH}:00:00
#
```

Continue setting the respective dependent directory paths.

Define date/time parameters for this cycle.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
#
# DART TIME DATA
export DT_YYYY=${YYYY}
export DT_YY=${YY}
export DT_MM=${MM}
export DT_DD=${DD}
export DT_HH=${HH}
(( DT_MM = ${DT_MM} + 0 ))
(( DT_DD = ${DT_DD} + 0 ))
(( DT_HH = ${DT_HH} + 0 ))
if [[ ${DT_HH} -eq 0 ]]; then
    export TMP_DATE=${BUILD_DIR}/da_advance_time.exe ${DATE} -1 2>/dev/null
    export TMP_YYYY=$(echo $TMP_DATE | cut -c1-4)
    export TMP_YY=$(echo $TMP_DATE | cut -c3-4)
    export TMP_MM=$(echo $TMP_DATE | cut -c5-6)
    export TMP_DD=$(echo $TMP_DATE | cut -c7-8)
    export TMP_HH=$(echo $TMP_DATE | cut -c9-10)
    export D_YYYY=${TMP_YYYY}
    export D_YY=${TMP_YY}
    export D_MM=${TMP_MM}
    export D_DD=${TMP_DD}
    export D_HH=24
    (( D_MM = ${D_MM} + 0 ))
    (( D_DD = ${D_DD} + 0 ))
    (( D_HH = ${D_HH} + 0 ))
else
    export D_YYYY=${YYYY}
    export D_YY=${YY}
    export D_MM=${MM}
    export D_DD=${DD}
    export D_HH=${HH}
    (( D_MM = ${D_MM} + 0 ))
    (( D_DD = ${D_DD} + 0 ))
    (( D_HH = ${D_HH} + 0 ))
fi
export D_DATE=${D_YYYY}${D_MM}${D_DD}${D_HH}
#
# CALCULATE GREGORIAN TIMES FOR START AND END OF ASSIMILATION WINDOW
set -A GREG_DATA `echo $DATE 0 -g | ${DART_DIR}/models/wrf_chem/work/advance_time`
export DAY_GREG=${GREG_DATA[0]}
export SEC_GREG=${GREG_DATA[1]}
set -A GREG_DATA `echo $NEXT_DATE 0 -g | ${DART_DIR}/models/wrf_chem/work/advance_time`
export NEXT_DAY_GREG=${GREG_DATA[0]}
export NEXT_SEC_GREG=${GREG_DATA[1]}
export ASIM_WINDOW=3
export ASIM_MIN_DATE=${BUILD_DIR}/da_advance_time.exe $DATE -$ASIM_WINDOW 2>/dev/null
export ASIM_MIN_YYYY=$(echo $ASIM_MIN_DATE | cut -c1-4)
export ASIM_MIN_YY=$(echo $ASIM_MIN_DATE | cut -c3-4)
export ASIM_MIN_MM=$(echo $ASIM_MIN_DATE | cut -c5-6)
export ASIM_MIN_DD=$(echo $ASIM_MIN_DATE | cut -c7-8)
export ASIM_MIN_HH=$(echo $ASIM_MIN_DATE | cut -c9-10)
```

Continue setting date/time parameters  
for this cycle.

Set assimilation window parameters.



# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
export ASIM_MAX_DATE=$(($BUILD_DIR/da_advance_time.exe $DATE +$ASIM_WINDOW 2>/dev null))
export ASIM_MAX_YYYY=$(echo $ASIM_MAX_DATE | cut -c1-4)
export ASIM_MAX_YY=$(echo $ASIM_MAX_DATE | cut -c3-4)
export ASIM_MAX_MM=$(echo $ASIM_MAX_DATE | cut -c5-6)
export ASIM_MAX_DD=$(echo $ASIM_MAX_DATE | cut -c7-8)
export ASIM_MAX_HH=$(echo $ASIM_MAX_DATE | cut -c9-10)
set -A temp `echo $ASIM_MIN_DATE 0 -g | ${DART_DIR}/models/wrf_chem/work/advance_time`
export ASIM_MIN_DAY_GREG=${temp[0]}
export ASIM_MIN_SEC_GREG=${temp[1]}
set -A temp `echo $ASIM_MAX_DATE 0 -g | ${DART_DIR}/models/wrf_chem/work/advance_time`
export ASIM_MAX_DAY_GREG=${temp[0]}
export ASIM_MAX_SEC_GREG=${temp[1]}
#
# SELECT COMPONENT RUN OPTIONS:
if [[ ${RUN_SPECIAL_FORECAST} = "false" ]]; then
    export RUN_GEOGRID=false
    export RUN_UNGRIB=true
    export RUN_METGRID=true
    export RUN_REAL=true
    export RUN_PERT_WRFCHM_MET_IC=true
    export RUN_PERT_WRFCHM_MET_BC=true
    export RUN_EXO_COLDENS=true
    export RUN_SEASON_WES=true
    export RUN_WRFCHM_BIO=true
    export RUN_WRFCHM_FIRE=true
    export RUN_WRFCHM_CHEMI=true
    export RUN_PERT_WRFCHM_CHEM_ICBC=true
    export RUN_PERT_WRFCHM_CHEM_EMISS=true
    export RUN_MOPITT_CO_OBS=true
    export RUN_IASI_CO_OBS=false
    export RUN_IASI_O3_OBS=false
    export RUN_AIRNOW_O3_OBS=false
    export RUN_AIRNOW_CO_OBS=false
    export RUN_PANDA_CO_OBS=false
    export RUN_PANDA_O3_OBS=false
    export RUN_PANDA_PM25_OBS=false
    export RUN_MODIS_AOD_OBS=false
    export RUN_MET_OBS=true
    export RUN_COMBINE_OBS=true
    export RUN_PREPROCESS_OBS=true
#
if [[ ${DATE} -eq ${INITIAL_DATE} ]]; then
    export RUN_WRFCHM_INITIAL=true
    export RUN_DART_FILTER=false
    export RUN_UPDATE_BC=false
    export RUN_WRFCHM_CYCLE_CR=false
    export RUN_BAND_DEPTH=false
    export RUN_WRFCHM_CYCLE_FR=false
    export RUN_ENSEMBLE_MEAN_INPUT=false
    export RUN_ENSMEAN_CYCLE_FR=false
    export RUN_ENSEMBLE_MEAN_OUTPUT=false
```

Continue setting assimilation window parameters.

Set flags to control task execution. Currently, these tasks are run sequentially and ordered similarly to the order of these flags. This is the only section where these flags should be set by the user. Subsequent flag setting sections adjust the user flag settings for special cycles.

Flag settings for the initial cycle. This section should not be changed by the user.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
# FORECAST PARAMETERS:
export USE_DART_INFL=true
export FCST_PERIOD=6
(( CYCLE_PERIOD_SEC=$(CYCLE_PERIOD)*60*60 ))
export NUM_MEMBERS=10
export MAX_DOMAINS=02
export CR_DOMAIN=01
export FR_DOMAIN=02
export NNX_P_CR=149
export NNYP_CR=111
export NNZP_CR=36
export NNX_P_FR=147
export NNYP_FR=156
export NNZP_FR=36
(( NNX_P_STAG_CR=$(NNXP_CR)+1 ))
(( NNYP_STAG_CR=$(NNYP_CR)+1 ))
(( NNZP_STAG_CR=$(NNZP_CR)+1 ))
(( NNX_P_STAG_FR=$(NNXP_FR)+1 ))
(( NNYP_STAG_FR=$(NNYP_FR)+1 ))
(( NNZP_STAG_FR=$(NNZP_FR)+1 ))
export NNZ_CHEM=10
export NNCHEM_SPC=24
export NNFIRE_SPC=31
export NNBIO_SPC=1
export NZ_CHEMI=$(NNZ_CHEM)
export NZ_FIRECHEMI=1
export NCHEMI_EMISS=2
export NNFIRECHEMI_EMISS=7
export ISTR_CR=1
export JSTR_CR=1
export ISTR_FR=59
export JSTR_FR=44
export DX_CR=60000
export DX_FR=20000
(( LBC_END=2*$(FCST_PERIOD) ))
export LBC_FREQ=3
(( INTERVAL_SECONDS=$(LBC_FREQ)*60*60 ))
export LBC_START=0
export START_DATE=$(DATE)
export END_DATE=$(($BUILD_DIR/da_advance_time.exe ${START_DATE} ${FCST_PERIOD} 2>/dev/null))
export START_YEAR=$(echo $START_DATE | cut -c1-4)
export START_YEAR_SHORT=$(echo $START_DATE | cut -c3-4)
export START_MONTH=$(echo $START_DATE | cut -c5-6)
export START_DAY=$(echo $START_DATE | cut -c7-8)
export START_HOUR=$(echo $START_DATE | cut -c9-10)
export START_FILE_DATE=$(START_YEAR)-$(START_MONTH)-$(START_DAY)_$(START_HOUR):00:00
export END_YEAR=$(echo $END_DATE | cut -c1-4)
export END_MONTH=$(echo $END_DATE | cut -c5-6)
export END_DAY=$(echo $END_DATE | cut -c7-8)
export END_HOUR=$(echo $END_DATE | cut -c9-10)
export END_FILE_DATE=$(END_YEAR)-$(END_MONTH)-$(END_DAY)_$(END_HOUR):00:00
```

Forecast model grid parameter settings.

Forecast model time parameter settings.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
# LARGE SCALE FORECAST PARAMETERS:
export FG_TYPE=GFS
export GRIB_PART1=gfs_4_
export GRIB_PART2=.g2.tar
#
# COMPUTER PARAMETERS:
export PROJ_NUMBER=NACD0002
export PROJ_NUMBER_ACD=P19010000
export PROJ_NUMBER_NSC=NACD0006
export PROJ_NUMBER_NSC=${PROJ_NUMBER_ACD}
export GENERAL_JOB_CLASS=geyser
export GEOGRID_TIME_LIMIT=0:10
export GEOGRID_NUM_TASKS=32
export GEOGRID_TASKS_PER_NODE=8
export GEOGRID_JOB_CLASS=geyser
export WRFCHM_TIME_LIMIT=6:00
export WRFCHM_NUM_TASKS=32
export WRFCHM_TASKS_PER_NODE=8
export WRFCHM_JOB_CLASS=geyser
export WRFDA_TIME_LIMIT=0:20
export WRFDA_NUM_TASKS=32
export WRFDA_TASKS_PER_NODE=8
export WRFDA_JOB_CLASS=geyser
export FILTER_TIME_LIMIT=7:59
export FILTER_NUM_TASKS=32
export FILTER_TASKS_PER_NODE=8
export FILTER_JOB_CLASS=geyser
export MISC_TIME_LIMIT=0:02
export MISC_NUM_TASKS=1
export MISC_TASKS_PER_NODE=8
export MISC_JOB_CLASS=geyser
#
# RUN DIRECTORIES
export GEOGRID_DIR=${RUN_DIR}/geogrid
export METGRID_DIR=${RUN_DIR}/${DATE}/metgrid
export REAL_DIR=${RUN_DIR}/${DATE}/real
export WRFCHM_MET_IC_DIR=${RUN_DIR}/${DATE}/wrfchem_met_ic
export WRFCHM_MET_BC_DIR=${RUN_DIR}/${DATE}/wrfchem_met_bc
export EXO_COLDENS_DIR=${RUN_DIR}/${DATE}/exo_coldens
export SEASONS_WES_DIR=${RUN_DIR}/${DATE}/seasons_wes
export WRFCHM_BIO_DIR=${RUN_DIR}/${DATE}/wrfchem_bio
export WRFCHM_FIRE_DIR=${RUN_DIR}/${DATE}/wrfchem_fire
export WRFCHM_CHEMI_DIR=${RUN_DIR}/${DATE}/wrfchem_chemi
export WRFCHM_CHEM_EMISS_DIR=${RUN_DIR}/${DATE}/wrfchem_chem_emiss
export WRFCHM_INITIAL_DIR=${RUN_DIR}/${INITIAL_DATE}/wrfchem_initial
export WRFCHM_CYCLE_CR_DIR=${RUN_DIR}/${DATE}/wrfchem_cycle_cr
export WRFCHM_CYCLE_FR_DIR=${RUN_DIR}/${DATE}/wrfchem_cycle_fr
export WRFCHM_LAST_CYCLE_CR_DIR=${RUN_DIR}/${PAST_DATE}/wrfchem_cycle_cr
export PREPBUFR_MET_OBS_DIR=${RUN_DIR}/${DATE}/prepbuf_r_met_obs
export MOPITT_CO_OBS_DIR=${RUN_DIR}/${DATE}/mopitt_co_obs
export IASI_CO_OBS_DIR=${RUN_DIR}/${DATE}/iasi_co_obs
export IASI_O3_OBS_DIR=${RUN_DIR}/${DATE}/iasi_o3_obs
```

Computer job submittal parameter settings.

Task run directory path parameter settings. These should not be changed by the user.

# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
export AIRNOW_CO_OBS_DIR=${RUN_DIR}/${DATE}/airnow_co_obs
export AIRNOW_O3_OBS_DIR=${RUN_DIR}/${DATE}/airnow_o3_obs
export PANDA_CO_OBS_DIR=${RUN_DIR}/${DATE}/panda_co_obs
export PANDA_O3_OBS_DIR=${RUN_DIR}/${DATE}/panda_o3_obs
export PANDA_PM25_OBS_DIR=${RUN_DIR}/${DATE}/panda_pm25_obs
export MODIS_AOD_OBS_DIR=${RUN_DIR}/${DATE}/modis_aod_obs
export COMBINE_OBS_DIR=${RUN_DIR}/${DATE}/combine_obs
export PREPROCESS_OBS_DIR=${RUN_DIR}/${DATE}/preprocess_obs
export WRFCHM_CHEM_ICBC_DIR=${RUN_DIR}/${DATE}/wrfchem_chem_icbc
export DART_FILTER_DIR=${RUN_DIR}/${DATE}/dart_filter
export UPDATE_BC_DIR=${RUN_DIR}/${DATE}/update_bc
export BAND_DEPTH_DIR=${RUN_DIR}/${DATE}/band_depth
export ENSEMBLE_MEAN_INPUT_DIR=${RUN_DIR}/${DATE}/ensemble_mean_input
export ENSEMBLE_MEAN_OUTPUT_DIR=${RUN_DIR}/${DATE}/ensemble_mean_output
export REAL_TIME_DIR=${DART_DIR}/models/wrf_chem/run_scripts/RUN_REAL_TIME
#
# WPS PARAMETERS:
export SINGLE_FILE=false
export HOR_SCALE=1500
export VTABLE_TYPE=GFS
export METGRID_TABLE_TYPE=ARW
#
# WRF PREPROCESS PARAMETERS
# TARG_LAT=38.92 (91,61)
# TARG_LON=-111.52 = 248.48 (91,61)
#export NL_MIN_LAT=38.32
#export NL_MAX_LAT=39.52
#export NL_MIN_LON=248.08
#export NL_MAX_LON=248.88
#
export NL_MIN_LAT=-15.
export NL_MAX_LAT=60.
export NL_MIN_LON=40.
export NL_MAX_LON=170.
export>NNL_MIN_LAT=${NL_MIN_LAT}
export>NNL_MAX_LAT=${NL_MAX_LAT}
export>NNL_MIN_LON=${NL_MIN_LON}
if [[ ${NL_MIN_LON} -gt 180. ]]; then ((NNL_MIN_LON=${NL_MIN_LON}-360.)); fi
export>NNL_MAX_LON=${NL_MAX_LON}
if [[ ${NL_MAX_LON} -gt 180. ]]; then ((NNL_MAX_LON=${NL_MAX_LON}-360.)); fi
export NL_OBS_PRESSURE_TOP=10000.
#
# PERT CHEM PARAMETERS
export SPREAD_FAC=0.30
export MOZ_SPREAD=${SPREAD_FAC}
export NL_MEAN=1.0
export NL_SPREAD=${SPREAD_FAC}
#
#####
#
# NAMELIST PARAMETERS
#
```

Continue setting the task run directory path parameters. These should not be changed by the user.

Set WPS and WRF\_DART\_Preprocessor parameters.

Set parameters controlling generation of the chemistry state variables and emissions ensembles.



# The “real time PANDA RETR RELEASE TEST.ksh” Run Script

```
#####  
#  
# RUN GEOGRID  
#  
#####  
#  
if [[ ${RUN_GEOGRID} = "true" ]] then  
  mkdir -p ${RUN_DIR}/geogrid  
  cd ${RUN_DIR}/geogrid  
#  
  cp ${WPS_DIR}/geogrid.exe ./.  
  export NL_DX=${DX_CR}  
  export NL_DY=${DY_CR}  
#   export NL_START_DATE=${FILE_DATE}  
#   export NL_END_DATE=${NEXT_FILE_DATE}  
  ${HYBRID_SCRIPTS_DIR}/da_create_wps_namelist_RT.ksh  
#  
  if [[ -f job.ksh ]]; then rm -rf job.ksh; fi  
  touch job.ksh  
  RANDOM=$$  
  export JOBRND=geogrid_${RANDOM}  
  rm -rf *.jerr  
  rm -rf *.jout  
  cat << EOF > job.ksh  
#!/bin/ksh -aeux  
#BSUB -P ${PROJ_NUMBER_ACD}  
#BSUB -n 1  
#BSUB -R "span[ptile=${GEOGRID_TASKS_PER_NODE}]"  
#BSUB -J ${JOBRND}  
#BSUB -o ${JOBRND}.jout  
#BSUB -e ${JOBRND}.jerr  
#BSUB -W ${GEOGRID_TIME_LIMIT}  
#BSUB -q geyser  
#  
#pirun.lsf ./geogrid.exe > index.html 2>&1  
#  
export RC=$?  
if [[ -f SUCCESS ]]; then rm -rf SUCCESS; fi  
if [[ -f FAILED ]]; then rm -rf FAILED; fi  
if [[ $RC = 0 ]]; then  
  touch SUCCESS  
else  
  touch FAILED  
  exit  
fi  
EOF  
  bsub -K < job.ksh  
fi  
#  
#####  
#  
# RUN UNGRIB  
#  
#####
```

Modular code block controlling the WPG GEOGRID task execution. This code block marks the beginning of the task execution part of the run script. Subsequently task are similarly identified. In general, it should not be necessary for the user to change these code blocks.

# The PANDA\_REAL\_TIME\_DATA

## Input Directory

```
mizzi on cheyenne6: /glade/p/FRAPPE/PANDA_REAL_TIME_DATA
total 1536
drwxr-sr-x  2 mizzi nacd0001    512 Apr  5  2017 airnow_csv_data/
drwxr-sr-x  2 mizzi nacd0001 131072 Sep 21 10:44 anthro_emissions/
drwxr-sr-x  4 mizzi nacd0001    512 Apr  5  2017 bio_emissions/
drwxr-sr-x  2 mizzi nacd0001    512 Jul  5  2017 china_epa_data/
drwxr-sr-x  6 mizzi nacd0001 131072 Apr  5  2017 fire_emissions/
drwxr-sr-x 14 mizzi nacd0001 131072 Dec  5 12:54 gfs_forecasts/
drwxr-sr-x  2 mizzi nacd0001    512 Apr  5  2017 hist_io_files/
drwxr-sr-x  3 mizzi nacd0001    512 Apr  5  2017 iasi_co_hdf_data/
drwxr-sr-x  2 mizzi nacd0001 131072 Apr  5  2017 iasi_o3_hdf_data/
drwxr-sr-x 18 mizzi nacd0001 131072 Apr  5  2017 met_obs_prep_data/
drwxr-sr-x  5 mizzi nacd0001    512 Apr  5  2017 modis_aod_hdf_data/
drwxr-sr-x  2 mizzi nacd0001    512 Apr  5  2017 mopitt_co_hdf_data/
drwxr-sr-x  2 mizzi nacd0001    512 Jul  4  2017 mozart_forecasts/
drwxr-sr-x  2 mizzi nacd0001    512 Apr  5  2017 static_files/
drwxr-sr-x  2 mizzi nacd0001 131072 Sep 15 19:49 wes_coldens/
cheyenne6: /glade/p/FRAPPE/PANDA_REAL_TIME_DATA>
```

**Test case input directory listing. Generally, the subdirectories contain the raw input data in the formats used by WRF-Chem/DART. Some of the subdirectories contain the files and run scripts needed to create the WRF-Chem static input files.**

# The PANDA\_REAL\_TIME\_DATA

## Output Directory

```
mizzi on cheyenne6: /glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST
total 512
drwxr-sr-x 19 mizzi nacd0001 131072 Nov 14 17:45 2014072418/
drwxr-sr-x 24 mizzi nacd0001 131072 Nov 14 17:44 2014072500/
drwxr-sr-x 2 mizzi nacd0001 512 Sep 15 15:01 geogrid/
-rw-r--r-- 1 mizzi nacd0001 320 Sep 16 10:49 pert_file_emiss
-rw-r--r-- 1 mizzi nacd0001 95 Sep 16 10:46 pert_file_icbc
cheyenne6:/glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST>
```

Test case output directory listing. Generally, the date/time subdirectories contain the cycle out (examples are given below), and the "pert\_file\_XXX" subdirectories contain data for generating the emission (\_emiss) and initial/boundary condition (\_icbc) ensemble members.

```
mizzi on cheyenne6: /glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST/2014072418
total 3328
drwxr-sr-x 2 mizzi nacd0001 512 Sep 17 10:28 combine_obs/
drwxr-sr-x 2 mizzi nacd0001 512 Sep 15 20:19 exo_coldens/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 15 15:26 metgrid/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 10:06 mopitt_co_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 10:28 prepbuf_met_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 10:28 preprocess_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 15 15:28 real/
drwxr-sr-x 2 mizzi nacd0001 512 Sep 15 20:19 seasons_wes/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 15 15:26 ungrib/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 15 21:33 wrfchem_bio/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 21 11:26 wrfchem_chem_emiss/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 16 08:12 wrfchem_chemi/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 16 10:49 wrfchem_chem_icbc/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 16 10:46 wrfchem_fire/
drwxr-sr-x 12 mizzi nacd0001 512 Sep 17 10:29 wrfchem_initial/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 15 15:55 wrfchem_met_bc/
drwxr-sr-x 22 mizzi nacd0001 131072 Sep 15 15:51 wrfchem_met_ic/
cheyenne6:/glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST/2014072418>
```

2014072418 – "Initial Cycle" subdirectory listing

```
mizzi on cheyenne6: /glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST/2014072500
total 4352
drwxr-sr-x 2 mizzi nacd0001 512 Sep 17 13:24 combine_obs/
drwxr-sr-x 22 mizzi nacd0001 131072 Sep 20 14:53 dart_filter/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 18 18:36 ensemble_mean_input/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 18 18:38 ensemble_mean_output/
drwxr-sr-x 2 mizzi nacd0001 512 Sep 17 12:15 exo_coldens/
drwxr-sr-x 2 mizzi nacd0001 131072 Nov 14 17:42 metgrid/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:19 mopitt_co_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:23 prepbuf_met_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:24 preprocess_obs/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 11:58 real/
drwxr-sr-x 2 mizzi nacd0001 512 Sep 17 12:15 seasons_wes/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 11:56 ungrib/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 18 15:08 update_bc/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:10 wrfchem_bio/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 21 11:22 wrfchem_chem_emiss/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 21 10:49 wrfchem_chemi/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:17 wrfchem_chem_icbc/
drwxr-sr-x 12 mizzi nacd0001 512 Sep 18 17:24 wrfchem_cycle_cr/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 13:13 wrfchem_fire/
drwxr-sr-x 2 mizzi nacd0001 131072 Sep 17 12:15 wrfchem_met_bc/
drwxr-sr-x 22 mizzi nacd0001 131072 Sep 17 12:11 wrfchem_met_ic/
cheyenne6:/glade/p/FRAPPE/real_PANDA_RETR_VARLOC_RELEASE_TEST/2014072500>
```

2014072418 – "First Assimilation Cycle" subdirectory listing

# Ensemble Kalman Filter

- Mitchell and Houtekamer (2001):

$$\mathbf{x}^a = \mathbf{x}^b + \mathbf{K}(\mathbf{y}^o - \mathbf{H}\mathbf{x}^b)$$

where  $\mathbf{K} = \mathbf{P}\mathbf{H}^T(\mathbf{H}\mathbf{P}\mathbf{H}^T + \mathbf{R})^{-1}$  -  $\mathbf{P}$  is the ensemble error covariance,  $\mathbf{R}$  is the observation error covariance, and  $\mathbf{H}$  is the forward operator mapping state variable  $\mathbf{x}$  to observation  $\mathbf{y}$ .

- **Problem:** undersampling can lead to spurious correlations in  $\mathbf{P}$ .
- **Problem:** overfitting and cycling can lead to degeneracy/spread collapse.
- **Solution:** Localization – limit the spatial extent and/or state variable/observation correlations (reduce or set them to zero).
- **Solution:** Increase observation error to avoid overfitting
- **Solution:** Use prior or posterior covariance inflation.



# Ensemble Kalman Filter Least Squares Framework - Spread Collapse

$$\Delta \mathbf{x} = \left( \frac{\text{cov}(\mathbf{x}, \mathbf{y})}{\text{var}(\mathbf{y})} \right)^{1/2} \times \Delta \mathbf{y}$$

$\mathbf{x}$  - the ensemble of state variables at a grid locations

$\mathbf{y}$  - the ensemble of expected observations at an observation location

$\mathbf{y} = \mathbf{H}(\mathbf{x})$  where  $\mathbf{H}$  is the forward operator

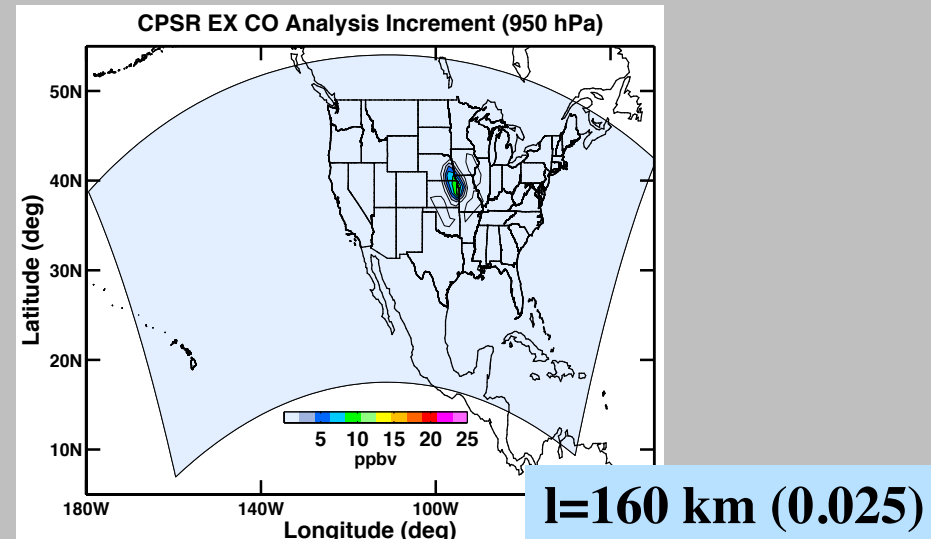
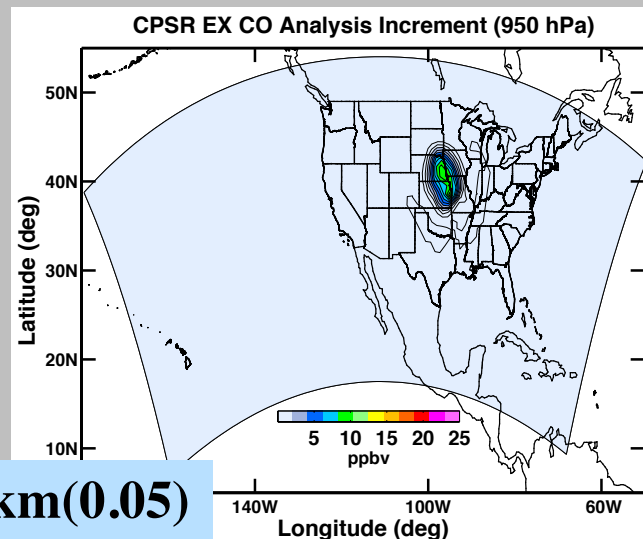
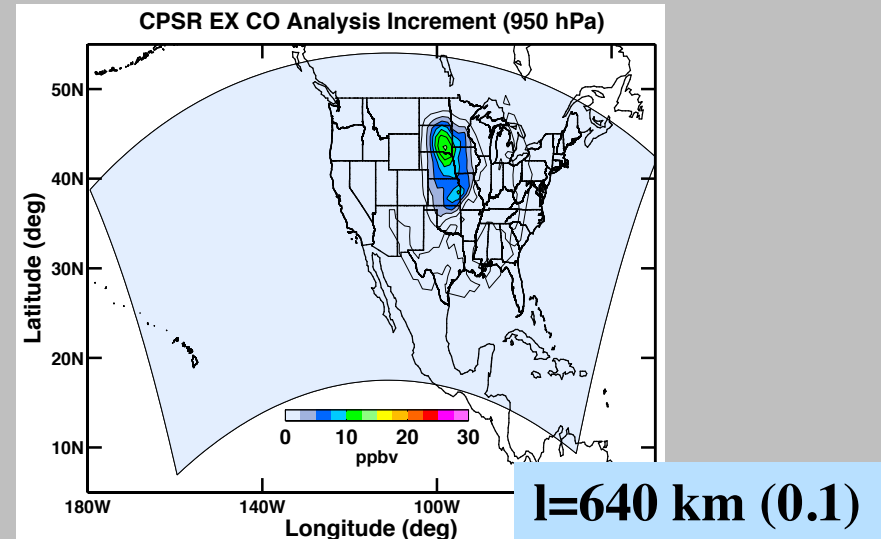
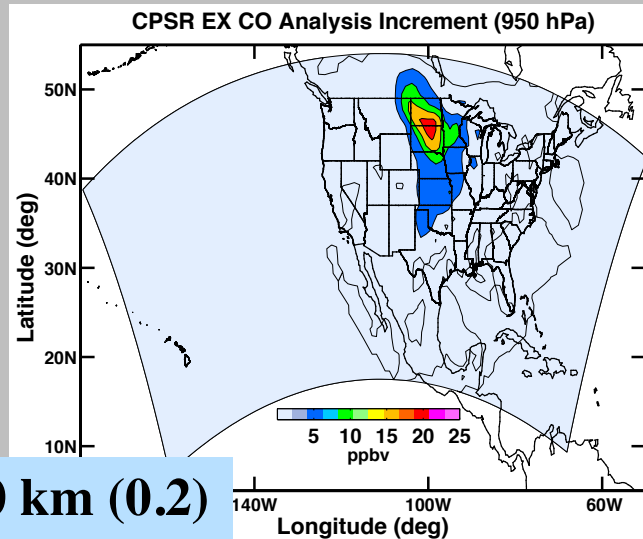
$\Delta \mathbf{y}$  - the ensemble of expected observation increments

$\Delta \mathbf{x}$  - the ensemble of state variable increments

With spread collapse  $\text{var}(\mathbf{y}) \rightarrow 0$

**Anderson (2003)**

# WRF-Chem/DART: Localization Examples



# References:

- Mizzi, A. P., A. F. Arellano, D. P. Edwards, J. L. Anderson, and G. G. Pfister (2016): Assimilating compact retrievals of atmospheric composition with WRF-Chem/DART: A regional chemical transport/ensemble Kalman filter data assimilation system. *Geosci. Model Dev.*, 9, 1-14.
- Mizzi, A. P., D. P. Edwards, and J. L. Anderson (2018): Assimilating compact phase space retrievals (CPSRs): Comparison with independent observations (MOZAIC *in situ* and IASI retrievals) and extension to assimilation of retrieval partial profiles. [*under internal review*].

# Additional References:

- Lahoz, W., B. Khattatov, and R. Menard, 2010: Data Assimilation, Making Sense of Observations. Springer-Verlag, ISBN 978-3-540-74702-4.
- Kalnay, E., 2003: Atmospheric Modeling, Data Assimilation, and Predictability. Cambridge University Press, ISBN 0-521-7917940.
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